



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Winicov

Attorney Docket: 5475-US

Serial No: 09/647,841

Group Art Unit: 1638

Filed: October 5, 2000

Examiner: Baum, S.

For: Expression Of Alfin1 And Methods For Producing Transgenic Plants  
Having Increased Root Growth And Root Specific Gene Activation

RESPONSE

To: Assistant Commissioner for Patents  
Box Sequence  
PO Box 2327  
Arlington, VA 22202

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TECH CENTER 1600/2900

Sir:

Responsive to the Notice of to Comply dated March 22, 2002 (Paper No. 7 ),  
please enter the attached substitute sequence list and substitute computer readable  
diskette.

The content on the paper and the computer readable form is identical to the  
written paper sequence listing.

A copy of the Notice is also enclosed.

In view of the foregoing, it is respectfully submitted that all of the informalities  
have been met and this application can now be passed to examination. Early action to this  
end is respectfully requested and earnestly urged.

Respectfully submitted,

*Richard R. Mybeck*

Richard R. Mybeck  
Reg. No. 17,886

April 22, 2002  
Post Office Box 5540  
Scottsdale, AZ 85261-5540  
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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Sequence, PO Box 2327, Arlington, VA 22202 on the date indicated below.

April 22, 2002

Candace C. Bloch



## Notice to Comply.

Application No.

09/647841

Applicant(s)

Winilov

Examiner

Stuart Baum

Art Unit

1638

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: *Raw sequencing listing Report*

#### Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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